



Canada Energy  
Regulator

Régie de l'énergie  
du Canada

Suite 210  
517 Tenth Avenue SW  
Calgary, Alberta  
T2R 0A8

517, Dixième Avenue S.-O.  
bureau 210  
Calgary (Alberta)  
T2R 0A8

File OF-Surv-OpAud-T260-2019-2020-01  
21 April 2021

Mr. Ian Anderson  
President and CEO of Trans Mountain Canada Inc.,  
And Trans Mountain Pipeline ULC  
Accountable Officer  
Trans Mountain Pipeline ULC  
Suite 2700, 300 – 5<sup>th</sup> Avenue SW  
Calgary, AB T2P 5J2  
Email [REDACTED]

Dear Mr. Anderson:

**Canada Energy Regulator (CER)  
Contractor Oversight – Trans Mountain Pipeline ULC (TMPU)  
Corrective and Preventative Action (CAPA) Plan Audit Close-out Letter**

In accordance with subsection 103(3) of the *Canadian Energy Regulator Act*, the CER conducted a compliance audit of the TMPU's contractor oversight starting on 27 September 2019. The audit examined aspects of TMPU's management system as it related to contractor oversight of both its ongoing operations as well as the Trans Mountain Expansion Project. The key objective of the audit was to verify that TMPU had developed a Management System as required by the *Canadian Energy Regulator Onshore Pipeline Regulations* for the effective management of the hazards and associated risks associated with effective contractor oversight.

The CER issued its Final Audit Report (Report) to TMPU on 31 July 2020.

The CER conducted follow-up compliance verification activities to verify implementation and compliance. The results of the final Implementation Assessment (IA) activities are contained in the IA Report attached to this letter. The Report indicates that TMPU has implemented its CAPA Plan as approved.

The CER now considers this audit to be closed. TMPU is accountable to maintain compliance throughout the life cycle of its regulated facilities. The CER will verify ongoing compliance through future compliance verification activities. If you require any further information or clarification, please contact Darryl Pederson, Lead Auditor, at [REDACTED].

Yours sincerely,

*Signed by*

Darryl Pederson, Lead Auditor  
Inspection Officer Number: 2541

.../2

Attachment

c.c. Mr. [REDACTED]  
Email [REDACTED]

Ms. [REDACTED],  
Email [REDACTED]